



Herceptin® (trastuzumab), Herceptin Hylecta™ (trastuzumab and hyaluronidase-oysk), Kadcyła® (ado-trastuzumab) and Perjeta® (pertuzumab) Precertification Request

Page 1 of 3

(All fields must be completed and legible for Precertification Review.)

Aetna Precertification Notification
503 Sunport Lane, Orlando, FL 32809
Phone: 1-866-752-7021
FAX: 1-888-267-3277

For Medicare Advantage Part B:
Phone: 1-866-503-0857
FAX: 1-844-268-7263

Please indicate: Start of treatment: Start date ____ / ____ / ____
 Continuation of therapy: Date of last treatment ____ / ____ / ____

Precertification Requested By: _____ **Phone:** _____ **Fax:** _____

A. PATIENT INFORMATION

| | | | |
|--|-------------|-----------------------------------|-------------|
| First Name: | | Last Name: | |
| Address: | | City: | State: ZIP: |
| Home Phone: | Work Phone: | Cell Phone: | |
| DOB: | Allergies: | E-mail: | |
| Current Weight: _____ lbs or _____ kgs | | Height: _____ inches or _____ cms | |

B. INSURANCE INFORMATION

| | |
|--------------------------|--|
| Aetna Member ID #: _____ | Does patient have other coverage? <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Group #: _____ | If yes, provide ID#: _____ Carrier Name: _____ |
| Insured: _____ | Insured: _____ |

Medicare: Yes No If yes, provide ID #: _____ **Medicaid:** Yes No If yes, provide ID #: _____

C. PRESCRIBER INFORMATION

| | | | | | |
|------------------|----------------------|--|--------|--------|-------|
| First Name: | Last Name: | (Check One): <input type="checkbox"/> M.D. <input type="checkbox"/> D.O. <input type="checkbox"/> N.P. <input type="checkbox"/> P.A. | | | |
| Address: | City: | State: | ZIP: | | |
| Phone: | Fax: | St Lic #: | NPI #: | DEA #: | UPIN: |
| Provider E-mail: | Office Contact Name: | | | Phone: | |

Specialty (Check one): Oncologist Other: _____

D. DISPENSING PROVIDER/ADMINISTRATION INFORMATION

| | |
|---|---|
| Place of Administration: <input type="checkbox"/> Self-administered <input type="checkbox"/> Physician's Office <input type="checkbox"/> Outpatient Infusion Center Phone: _____ Center Name: _____ <input type="checkbox"/> Home Infusion Center Phone: _____ Agency Name: _____ Address: _____ <input type="checkbox"/> Administration code(s) (CPT): _____ | Dispensing Provider/Pharmacy: Patient Selected choice <input type="checkbox"/> Physician's Office <input type="checkbox"/> Retail Pharmacy <input type="checkbox"/> Specialty Pharmacy <input type="checkbox"/> Other _____ Name: _____ Address: _____ Phone: _____ Fax: _____ TIN: _____ PIN: _____ |
|---|---|

E. PRODUCT INFORMATION

Request is for: Herceptin (trastuzumab) Perjeta (pertuzumab) Kadcyła (ado-trastuzumab emtansine)
 Herceptin Hylecta (trastuzumab and hyaluronidase-oysk)
Dose: _____ **Frequency:** _____

F. DIAGNOSIS INFORMATION – Please indicate primary ICD Code and specify any other where applicable.

Primary ICD Code: _____ Secondary ICD Code: _____ Other ICD Code: _____

G. CLINICAL INFORMATION – Required clinical information must be completed in its entirety for all precertification requests.

For All Requests (clinical documentation required):
 Yes No Does the patient have HER2 protein overexpression documented by one of the following?
 Check all that apply:
 Immunohistochemistry (IHC) Assay level of 3+
 Results: _____ Date of Test: ____ / ____ / ____
 Positive Fluorescent in situ hybridization (FISH) HER2 gene copy of greater than 6 signals/nucleus
 Results: _____ Date of Test: ____ / ____ / ____
 Positive Fluorescent in situ hybridization (FISH) HER2 gene/ chromosome 17 ratio greater than or equal to 2.0
 Results: _____ Date of Test: ____ / ____ / ____

HERCEPTIN (trastuzumab):
 Esophageal adenocarcinoma Gastric adenocarcinoma Esophageal-gastric junction adenocarcinoma
 Yes No Will Herceptin (trastuzumab) be used as palliative therapy?
 Yes No Will Herceptin (trastuzumab) be used in combination with systemic chemotherapy?
 Please provide the name of the systemic chemotherapy: _____

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G. CLINICAL INFORMATION (continued) – Required clinical information must be completed in its entirety for all precertification requests.

Endometrial carcinoma

- Yes No Does the patient have advanced (stage III/IV) disease?
- Yes No Does the patient have a documented diagnosis of uterine serous carcinoma?
- Yes No Does the patient have recurrent disease?
- Yes No Will Herceptin (trastuzumab) be used in combination with carboplatin and paclitaxel?

Salivary gland tumors

- Yes No Does the patient have recurrent disease with distant metastases?
- Please indicate how Herceptin (trastuzumab) will be used: single agent Other: Please explain: _____
- in combination with systemic chemotherapy: Name of systemic chemotherapy: _____

HER2 positive breast cancer

- Yes No Does the patient have recurrent, metastatic, stage IV disease or leptomeningeal metastases from breast cancer (as intracerebrospinal fluid treatment)? recurrent disease metastatic disease stage IV disease
- leptomeningeal metastases from breast cancer (as intracerebrospinal fluid treatment)
- Yes No Will Herceptin (trastuzumab) be used as pre-operative (neoadjuvant) systemic therapy?
- Please select in which of the following settings Herceptin (trastuzumab) will be used:
 - Node-positive disease likely to become node-negative with pre-operative systemic therapy
 - Locally advanced disease Individuals who fulfill criteria for breast-conserving surgery except for tumor size
 - None of the above
- Yes No Will Herceptin (trastuzumab) be used as adjuvant therapy?
- Yes No Will Herceptin (trastuzumab) be used as part of a complete treatment regimen?

HERCEPTIN HYLECTA (trastuzumab and hyaluronidase-oysk):

HER2 positive breast cancer

Please select which of the following applies to the patient's disease stage:

- Early stage HER2-overexpressing breast cancer
- Yes No Will Herceptin Hylecta (trastuzumab and hyaluronidase-oysk) be used as adjuvant therapy?
- Metastatic HER2-overexpressing breast cancer
- Other

PERJETA (pertuzumab) with HERCEPTIN (trastuzumab):

(please ensure dosing and instructions for both drugs are documented in section E) HER2 positive breast cancer

Please select which type of treatment Perjeta (pertuzumab) and Herceptin (trastuzumab) is being used for:

- Adjuvant therapy
 - Yes No Is the patient's disease node-positive or at high-risk for recurrence?
 - Please select: Node-positive At high-risk for recurrence Other: _____
- Preoperative (neoadjuvant) therapy
 - Please select in which of the following settings Perjeta (pertuzumab) with Herceptin (trastuzumab) will be used:
 - Node-positive disease likely to become node-negative with pre-operative systemic therapy
 - Individuals who desire breast preservation and fulfill criteria for breast-conserving surgery except for tumor size
 - Locally advanced disease None of the above
- Other
 - Please indicate which applies to the patient's disease: Recurrent disease Metastatic disease
 - Yes No Does the patient have symptomatic visceral disease or visceral crisis?
 - Please specify: Symptomatic visceral disease Visceral crisis

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G. CLINICAL INFORMATION (continued) – Required clinical information must be completed in its entirety for all precertification requests.

KADCYLA (ado-trastuzumab emtansine):

Yes No Does the patient have a documented diagnosis of HER2-positive non-small cell lung cancer?

Yes No Is the patient being treated for HER2-positive recurrent or metastatic breast cancer?

Yes No Will Kadcyła (ado-trastuzumab emtansine) be used as adjuvant systemic therapy?

Yes No Has the patient received neoadjuvant therapy containing a taxane (with or without anthracycline) and trastuzumab?

Please provide the date range of use: ____ / ____ / ____ to ____ / ____ / ____

Yes No Does the patient have a residual disease after receiving neoadjuvant therapy?

Please indicate which applies: recurrent breast cancer metastatic breast cancer

Yes No Does the patient have symptomatic visceral disease or visceral crisis?

Please indicate the type of breast cancer: Hormone receptor- negative Hormone receptor- positive
 Unknown Other

Yes No Is the breast cancer refractory to endocrine therapy?

Please select which of the following endocrine therapy the patient is refractory to:

Nonsteroidal aromatase inhibitors (anastrozole and letrozole)
 Steroidal aromatase inhibitors (exemestane)
 Estrogen receptor (ER) antagonists (tamoxifen or toremifene)
 ER down-regulators (fulvestrant) High-dose estrogen (ethinyl estradiol)
 Androgens (fluoxymesterone) Other: Please explain: _____

Yes No Please specify: symptomatic visceral disease visceral crisis

Yes No Will Kadcyła (ado-trastuzumab emtansine) be used as a single agent?

Yes No Will Kadcyła (ado-trastuzumab emtansine) be used concomitantly with Herceptin (trastuzumab), Tykerb (lapatinib), or Perjeta (pertuzumab)?

For Continuation Requests (clinical documentation required):

Yes No Has the patient experienced disease progression or unacceptable toxicity while on HER2 therapy?

Disease progression Unacceptable toxicity

HERCEPTIN (trastuzumab):

For HER2-positive breast cancer only:

Yes No Is there clinical evidence of distant metastatic disease?

Please provide initial start date: ____ / ____ / ____

HERCEPTIN HYLECTA (trastuzumab and hyaluronidase-oysk):

Yes No Will Herceptin Hylecta (trastuzumab and hyaluronidase-oysk) be used in adjuvant settings?

Please provide the initial start date: ____ / ____ / ____

PERJETA (pertuzumab) with HERCEPTIN (trastuzumab):

Yes No Is there clinical evidence of distant metastatic disease?

Please provide initial start date: ____ / ____ / ____

KADCYLA (ado-trastuzumab emtansine):

Yes No Is Kadcyła (ado-trastuzumab emtansine) being used concomitantly with Herceptin (trastuzumab), Tykerb (lapatinib), or Perjeta (pertuzumab)?

Yes No Is there clinical evidence of metastatic disease?

Please provide initial start date: ____ / ____ / ____

H. ACKNOWLEDGEMENT

Request Completed By (Signature Required): _____ **Date:** ____ / ____ / ____

Any person who knowingly files a request for authorization of coverage of a medical procedure or service with the intent to injure, defraud or deceive any insurance company by providing materially false information or conceals material information for the purpose of misleading, commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

The plan may request additional information or clarification, if needed, to evaluate requests.